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DT Rec'd PCT/PTO 07 FEB 2005

**IN THE UNITED STATES PATENT OFFICE**

**CUSTOMER NO. 23607**

United States National Phase Patent  
Application Based on PCT/CA2003/001175  
Filed on August 6, 2003

Our Ref.: PC1926002

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Title: DUAL-SPIKE RELEASE FORMULATION FOR ORAL DRUG  
DELIVERY

Inventors: Bernard Charles Sherman

Group Art Unit: -

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**INFORMATION DISCLOSURE STATEMENT**

February 5, 2004

U.S. Patent and Trademark Office  
220 20th Street South  
Customer Window, Mail Stop Amendment  
Crystal Plaza Two, Lobby Room 1B03  
Arlington, VA, 22202

Dear Sir:

Applicants and the undersigned are aware of "patents, publications, or other information" which they believe may be material to the examination of the above-identified application. Copies of these items, and one sheet of Information Disclosure Statement by Applicant listing them, are submitted herewith pursuant to 37 C.F.R. §§ 1.97-1.99 and to the duty of disclosure set forth in 37. C.F.R. § 1.56. If there is a fee required with regard to filing the Information Disclosure Statement, please obtain any such deficiency or credit the surplusage to Deposit Account 08-3255 and advise

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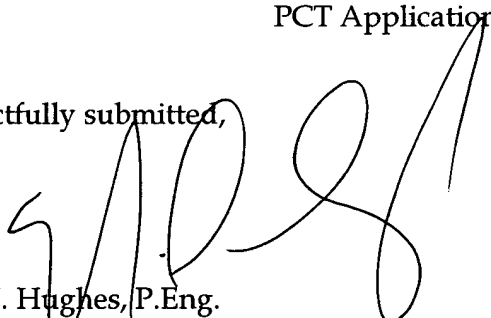
Although this Information Disclosure Statement identifies references which may be "material," it is not intended to constitute an admission that any patent, publication, or other information referred to is "prior art" (within the meaning of 35 U.S.C. §102 and §103) as to the invention disclosed and claimed in this application unless specifically designated as such. Moreover, no representation is intended as to the relative relevance of any portion of the references or as to the relevance among references, whether cited in this Statement or elsewhere.

In accordance with 37 C.F.R. §1.97(b), the filing of this Information Disclosure Statement shall not be construed to mean that a novelty search has been made or that no other information which may be material (as defined in 37 C.F.R. §1.56(a)) exists.

FOREIGN PATENT DOCUMENTS

PCT Application WO 01/52813  
PCT Application WO 00/35450  
PCT Application WO 99/03471

Respectfully submitted,

  
Neil H. Hughes, P.Eng.  
Registration #33,636  
Agent for Applicant

NHH:md  
Enclosures

## CITATION OF PRIOR ART

DT12 Rec'd PCT/PTO 07 FEB 2005

10523761 Sheet 1 of 1

FORM PT0-1449 (REV. 8-83)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. PT-2099001	APPLICATION SERIAL NO. Unknown, based on PCT/CA2003/0011 August 6, 2003
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)		APPLICANT Bernard Charles Sherman	
CUSTOMER NO. 23607		FILING DATE February ____, 2005	GROUP ART UNIT -

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	WO 00/35450	06/22/2000	International			No
	WO 01/52813	07/26/2001	International			No
	WO 99/03471	01/28/1999	International			No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED	
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